


DOCUMENT TYPE	X	
ACADEMIC	1	
TEACHING AND LEARNING	1	
WELLBEING AND INTEGRITY	5	
NUMBER	005	
		Policy Database Document Reference Number <b>115005X</b>

## RESEARCH INTEGRITY – SCHEDULE OF RESPONSES AND PENALTIES FOR HIGHER DEGREE STUDENT RESEARCH MISCONDUCT

Parent policy title	Research Integrity Policy
Associated documents	<a href="#">Academic Integrity Statute 2015</a> <a href="#">Australian Code for the Responsible Conduct of Research</a> ('the Code') Higher Degree Student Research Misconduct Procedures <a href="#">Research Misconduct Procedures</a>


### Purpose

This document sets out appropriate responses and penalties applicable to Part 3 of the Academic Integrity Statute and the Higher Degree Student Research Misconduct Procedure. The list of possible responses provided in this Schedule is hierarchical, escalating from least to most severe. The Academic Misconduct (Research) Officer (Misconduct Officer) may apply more than one response or penalty as appropriate.

### Questions to ask

The following questions should be considered when determining whether to apply a response and penalty.

- Is the student new to research?
- Is the student new to study in Australia?
- Was the student educated overseas?
- Is this a first or repeat offence?
- Was the alleged misconduct significant in scale or scope?
- Was the alleged misconduct deliberate with a clear intention of cheating?
- Was the alleged misconduct carefully and deliberately planned?
- Was the action intending to give the student a significant advantage?
- Was the action intending to give the student an unjustified advantage?


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### Recording of outcome


The outcome of an academic integrity investigation will be noted in the student's file on University records. This includes any educational or other supportive responses where no research misconduct is found or there is a finding of poor scholarship. The records of academic integrity investigations and outcomes may be used as evidence in subsequent investigations, for example as evidence of repeated or intentional activity.

### Suggested penalties


<b>Determination</b>	<b>Range of responses that may be applied</b>
<b>No research misconduct or poor scholarship</b>	<ul style="list-style-type: none"> <li>(a) Dismiss the report and determine that the student has no case to answer.</li> <li>(b) Rectification of poor scholarship</li> <li>(c) Direct that the work (if any) to which the allegation of research misconduct was related be accepted or revised as appropriate.</li> <li>(d) Warning and advice for poor scholarship, and discussion with principal supervisor.</li> <li>(e) Educational corrective action in consultation with principal supervisor, for example attending a workshop; undertaking an online module</li> </ul>

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<b>Research misconduct</b>	<p>(a) Rectification of the misconduct</p> <p>(b) If prior to examination, the student may be directed not to submit affected research for examination or for publication. This might include:</p> <ul style="list-style-type: none"> <li>• any section(s) or all of a thesis,</li> <li>• any examinable component such as an exegesis or research artefact</li> <li>• any work submitted or accepted for publication</li> </ul> <p>(c) Where there is a publication that has been submitted or published that relies on affected research the student may be directed to:</p> <ul style="list-style-type: none"> <li>• where they are the sole or corresponding author, take the necessary steps to retract the publication</li> <li>• where they are one of the co-authors, advise the corresponding author of the finding and of the need to retract the publication and for any other co-authors to be advised.</li> </ul> <p>Evidence must be provided to the Board of Graduate Research that the steps above have been undertaken.</p> <p>(d) Where the finding is made after examination, an instruction may be made to amend relevant sections of the thesis/examinable components and then resubmit the thesis for examination.</p> <p>(e) Reprimand</p> <p>(f) Requirement for formal apology, where appropriate</p> <p>(g) Educational corrective action in consultation with principal supervisor, for example attending a workshop; undertaking an online module</p> <p>(h) Restricted access to the University's library, network or computing facilities for a period of time where the misconduct is related to the use of any of these facilities.</p> <p>(i) Reimbursement or contribution towards the cost of making good any damage, subject to the University's insurance policy</p> <p>(j) Withdrawal of research funding</p>
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	<ul style="list-style-type: none"> <li>(k) Suspension or termination of scholarship</li> <li>(l) Another outcome appropriate to the case but with an impact less serious than suspension or termination of candidature</li> <li>(m) Suspension from the University without readmission, not exceeding one year. Outcome of suspension from the University for disciplinary reasons to be recorded on student's transcript.</li> <li>(n) Termination of candidature</li> <li>(o) If after examination, the Misconduct Officer may direct that a record of fail be applied.</li> <li>(p) Where a finding of research misconduct has been made after the award of a degree the Misconduct Officer may recommend to the Board of Graduate Research that the award be rescinded.</li> <li>(q) Referral to police in cases of fraud, breach of a code or law, or wilful damage to University property.</li> </ul>
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<b>Status</b>	<p>Revisions approved by Academic Board on 11 November 2015, minute reference 484.3.5.</p> <p>Prior approvals: New, approved by Board of Graduate Research 16 September 2015.</p>
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<b>Date effective</b>	11 November 2015
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