

**La Trobe University's Responsible Officer Register**
**Cascading Accountability Framework**

| Senior Executive Group Member/ Portfolio | Executive Member                | Executive (Position)                              | Responsible Officer (Name)              | Responsible Officer (Position)                               | Compliance Remit (Topic)  |  |
|--|---------------------------------|---|---|--|---|--|
| Natalie MacDonald                        | Vice President (Administration) | Fiona Reed<br>Executive Director, Human Resources | Sue Dunstan                             | Deputy Director, HR Services, Pay and Support                | Human Resources e.g. <ul style="list-style-type: none"> <li>• Payroll &amp; Employee Entitlements</li> <li>• Superannuation;</li> <li>• Working with Children obligations</li> </ul>  |  |
|  |                                 |   | Linda Robertson                         | Director of Workplace Relations & Safety, Employee Relations | Workplace /Employee Relations & Safety, e.g. <ul style="list-style-type: none"> <li>• Industrial Relations, Enterprise Bargaining &amp; Fair Work</li> <li>• Occupational Health &amp; Safety incl. o Dangerous Goods <ul style="list-style-type: none"> <li>o Radiation Safety</li> <li>o Worksafe liaison etc</li> </ul> </li> <li>• Anti- Discrimination</li> <li>• Workers and Accident Compensation</li> </ul> |  |
|  |                                 |   | Zemeel Saba                             | Director, Diversity Inclusion & Culture                      | <ul style="list-style-type: none"> <li>• Anti- Discrimination</li> <li>• Culture / Conduct</li> </ul>   |  |
|  |                                 | Mark Smith  | Chief Financial Officer, Office of CFO  | Shyam Kalyanji   | Manager, Corporate Finance, Office of CFO   | Finance <ul style="list-style-type: none"> <li>• Taxation (e.g. PAYG) and Financial Management Act</li> <li>• Payment Card Industry Data Security Standards (PCIDSS) Compliance</li> <li>• VAGO (Audit Acts)</li> <li>• Australian Accounting Standards</li> <li>• Personal Property Security</li> </ul> |
|  |                                 | Michael Carney                                    | General Manager, SHE                    | Michael Carney   | General Manager, SHE  | Clinics & Health <ul style="list-style-type: none"> <li>• Health Practitioners / Health Services laws (including Health care identifiers and complaints obligations).</li> </ul>   |
|  |                                 | Mark Engel  | Chief Marketing Officer, Off of the CMO | Ann Moss   | Acting Director, Sales and Customer Experience  | Marketing - Brand and Collateral e.g. <ul style="list-style-type: none"> <li>• Spam Act;</li> <li>• Consumer protection;</li> <li>• Trade marks</li> <li>• Do not call register</li> </ul>   |

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|-------|-------|------------------|---|---------------------|--|---|
| Cont. | Cont. | Paul Farley      | Executive Director, Infrastructure & Operations | Lana MacKichan      | Bus. Support & Improvements Snr Coord., I&O Client + Bus Serv Office | Infrastructure & Operations <ul style="list-style-type: none"> <li>• Building Development / Construction and Maintenance</li> <li>• Environmental Protection and Biodiversity (including Hazardous Waste and catchment and land protection)</li> <li>• Greenhouse and Energy Production/Reporting;</li> <li>• Surveillance devices</li> <li>• Civil aviation (including unmanned aircraft)</li> <li>• Heritage Acts;</li> <li>• Land Transactions</li> <li>• Road Regulations &amp; Safety;</li> <li>• Electrical Safety</li> </ul> |
|       |       | Peter Powell     | Exec. Director/Chief Information Officer, ICT   | David Hird          | Head, Security Standards and Compliance                              | Information Data Security e.g. <ul style="list-style-type: none"> <li>• Surveillance devices</li> <li>• Telecommunications</li> <li>• Cyber and Data Security</li> </ul>  |
|       |       | Richard Frampton | Exec Director, Student Services & Admin         | Richard Frampton    | Exec Director, Student Services & Admin                              | <ul style="list-style-type: none"> <li>• Child Care incl. Education and Care Services</li> <li>• Food Safety</li> <li>• Liquor Licensing</li> <li>• Residential Services</li> <li>• Student Wellbeing</li> <li>• Student Associations</li> <li>• Anti-Discrimination (Students)</li> </ul>  |
|       |       |                  |   | Fotios Lampropoulos | Deputy Director, Student Administration                              | Student Administration <ul style="list-style-type: none"> <li>• HE Support Act</li> <li>• Student Assistance and Fee Help</li> <li>• Scholarship obligations</li> <li>• Higher Education Provider Guidelines</li> </ul>   |
|       |       | Robyn Harris     | Executive Director, Planning & Governance       | Robyn Harris        | Executive Director, Planning & Governance                            | • TEQSA (incl. Threshold Standards) and HEFA  |
|       |       |                  |   | Vanessa Cover       | Director, Risk Management Office                                     | <ul style="list-style-type: none"> <li>• Fraud &amp; Corruption (Domestic)</li> <li>• Child Safe Standards and</li> <li>• Reportable Conduct</li> </ul>   |
|       |       |                  |   | Rebecca Bramwell    | Manager, Governance & Policy   | • Obligations of Office holders   |
|       |       |                  |   | Lauren Thomson      | Manager, Records & Archives  | <ul style="list-style-type: none"> <li>• Public / Health Records Management</li> <li>• Public Record Office Victoria Liaison</li> </ul>   |
|       |       |                  |   | Gilbert Ducasse     | General Counsel  | • Consumer Law;   |

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|                  |  |                  |  | Fiona Rowley   | Policy Advisor, Privacy and Freedom of Information Officer | Policy, Privacy and Freedom of Information e.g.<br><br><ul style="list-style-type: none"> <li>• Privacy Acts (Various) (incl. Personal, Health and TFN obligations, mandatory data reporting and EU Data Protection)</li> <li>• Surveillance devices</li> <li>• Freedom of Information</li> </ul>   |
| Kerri-Lee Krause | Deputy Vice-Chancellor (Academic), Office of DVC (Academic)                | Nellie Green     | Acting Executive Director, Indigenous Strategy & Education | Nellie Green   | Acting Executive Director, Indigenous Strategy & Education | <ul style="list-style-type: none"> <li>• Indigenous Education &amp; Support</li> <li>• Native Title</li> <li>• Aboriginal Cultural Heritage Management</li> <li>• Commonwealth fundraising audit</li> </ul>   |
|                  |  | Jennifer Peasley | Executive Director, Library and Universities               | David Janssen  | Copyright Advisor  | <ul style="list-style-type: none"> <li>• Copyright laws</li> </ul>  |
|                  |  | Nicki Lee        | Executive Director, Education Partnership & Quality        | Nicki Lee  | Executive Director, Education Partnership & Quality        | Educational Partnerships & Quality - triage and operational implementation of TEQSA HE Standards.   |
|                  |  | Andrew Brennan   | University Ombudsman                                       | Andrew Brennan   | University Ombudsman                                       | <ul style="list-style-type: none"> <li>• Protected Disclosures / Equity and Diversity</li> </ul>  |
|                  |  | Kelly Smith      | PVC, LTI   | Wendy Martinec   | Director, Inter Partnerships & Services                    | La Trobe International  |
| Simon Phillips   | Director, International Recruitment and Admissions, La Trobe International |                  |  | <ul style="list-style-type: none"> <li>• Anti-Bribery / Fraud and Corruption (International)</li> <li>• English Language (ELICOS)</li> </ul> |  |   |
| Keith Nuggent    | Deputy Vice Chancellor & VP (Research)                                     | MaryAnne Aitken  | Executive Director, Research Office                        | MaryAnne Aitken  | Executive Director, Research Office                        | Research Services e.g.<br><br><ul style="list-style-type: none"> <li>• Therapeutic Goods</li> <li>• National Code for Research</li> <li>• Ethics &amp; Integrity (Human, animal etc)</li> <li>• Weapons of Mass Destruction</li> <li>• UNSC and Autonomous Sanctions</li> <li>• Prevention of Cruelty to Animals</li> <li>• Gene Technology /Cloning</li> <li>• Defence Trade Controls &amp; Customs</li> </ul> |
|                  |  |                  |  | Russell Hoye   | Pro Vice-Chancellor (Res Development)                      | Andrew Tomlinson  |
|                  |  |                  |  | Bronwyn Neeson   | Senior Manager, LTU Sport                                  |   |

