

Fiscal Misconduct Policy

Section 1 - Background and Purpose

(1) The purpose of the Policy is to establish the consequences of fiscal misconduct.

Section 2 - Scope

(2) Applies to:

- a. All campuses
- b. All staff

Section 3 - Policy Statement

(3) All cases of potential fiscal misconduct will be investigated either through internal processes or by the police.

(4) If an employee is found to have engaged in fiscal misconduct, the University will pursue appropriate disciplinary action including dismissal or civil action.

Section 4 - Procedure

(5) Refer to the:

- a. [Code of Conduct](#)
- b. Disciplinary procedures
- c. Fraud And Corruption Management Policy

Section 5 - Definitions

(6) For the purpose of this Policy:

- a. fiscal misconduct:
 - i. an activity that leads to intentional spending of University resources that is not approved;
 - ii. diverting revenue;
 - iii. inappropriate use of University resources including assets and personnel;
 - iv. knowingly violating University financial policy;
 - v. manipulation of documentation to enable spending;
 - vi. intentionally misreporting University transactions.

Section 6 - Stakeholders

Responsibility for implementation - Manager, Internal Audit; and Director, Risk Management.

Responsibility for monitoring implementation and compliance - Director, Risk Management; and Corporate Governance, Audit and Risk Committee.

Status and Details

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